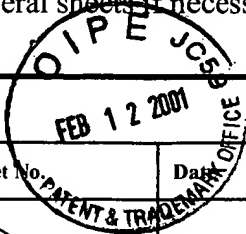
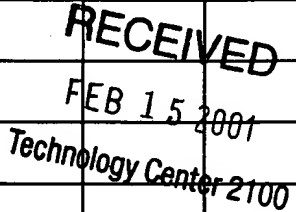


<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Docket No. 35512-00056		Serial No. 09/692,748	
				Applicant(s) M. Chapman Findlay III, et al.			
				Filing Date October 19, 2000		Group Art Unit 2164	



U.S. Patent Documents							
Examiner Initial	Ref.	Docket No.	Date	Name	Class	Subclass	Filing Date



FOREIGN PATENT DOCUMENTS							
Ref	Document Number	Date	Country	Class	Subclass	Translation	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
mm	1.	M. Chapman Findlay, III, "Financial Economics, Securities Litigation, and You", remarks presented to UCLA Securities Litigation Seminar in March 1993, revised November 1993, published by Findlay, Phillips and Associates.
mm	2.	M. Chapman Findlay, III, "Securities Fraud: Share Allocation Models", remarks presented to UCLA Securities Litigation Seminar in March 1994, published by Findlay, Phillips and Associates.
mm	3.	M. Chapman Findlay, III, "Securities Fraud: True Value Lines", remarks presented to UCLA Securities Litigation Seminar in March 1994, published by Findlay, Phillips and Associates.
mm	4.	M. Chapman Findlay, III, "Securities Fraud and Market Efficiency: Basic Concepts", published by Findlay, Phillips and Associates, 1995.
mm	5.	M. Chapman Findlay, III, "Securities Fraud and Market Efficiency: More Advanced Concepts", published by Findlay, Phillips and Associates, 1999.
mm	6.	M. Chapman Findlay, III, and G. Michael Phillips, "Securities Fraud: More on True Value Lines", published by Findlay, Phillips and Associates, 1999.

<b>EXAMINER</b> <i>Ngat Nguyen</i>	<b>DATE CONSIDERED</b> <i>3/4/04</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.